Maritime & Coastguard Agency

## APPLICATION FOR AN ORAL EXAMINATION LEADING TO THE ISSUE OF A CERTIFICATE OF COMPETENCY (STCW) Engineer Officers in the Merchant Navy

IMPORTANT - <u>BEFORE</u> completing this form, please ensure you have read the guidance notes and instructions on pages 6 to 9.

Due to the ongoing COVID-19 situation we are currently unable to accept any applications via post. Please submit all applications and supporting documents via email. Please note that scanned copies of supporting documents are currently acceptable. Please note this is subject to change, visit our webpage to stay up to date with the latest information - <u>Click here</u>. Please email your application to <u>engineering@mcga.gov.uk</u>

## **1 PERSONAL DETAILS**

Title Mr/Mrs/Miss/Capt. etc.	Sex Male/Female
Surname/Family name	
Forename(s) in full	
Date of Birth	
Place of Birth	Country of Birth
Nationality	

	Full home address		Address for return of documents (if different from home address)
Address			
District			
Town/City			
County/State			
Post Code/Zip			
Country			
Telephone No			
Mobile No		Email:	

## 2 CERTIFICATE APPLIED FOR

Capacity	STCW Reference	Power Limits (kW)	Area Limitation	Steam/ Motor/Combined	Please tick √
OOW Engineer	III/1	Unlimited	None		
Second Engineer	III/3	Less than 3000	Near Coastal		
Second Engineer	III/3	Less than 3000	None		
Second Engineer	III/2	Less than 9000	Near Coastal		
Second Engineer	III/2	Unlimited	None		
Chief Engineer	III/3	Less than 3000	None		
Chief Engineer	III/2	Less than 9000	Near Coastal		
Chief Engineer	III/2	Unlimited	None		
Marine Engine Operato	r Licence	Less than 750			
Senior Marine Engine C	Dperator Licence	Less than 750			

#### Please do not write below this line

Received:	Fee:	Documents received:

# 3 – SEA SERVICE

Engineer Officers – This means service on crew agreement as an Engineer Officer on regular watch over the main propelling machinery or auxiliary machinery, or duty engineer on ships with unattended machinery spaces or day work. Seagoing service must be entered in a Discharge Book or on a Certificate of Discharge and supported by testimonials signed by the Chief Engineer, Engineer Superintendent or Master as appropriate. Seagoing Service Testimonials are available in <u>MSN 1857</u> (Annex C, pages 35 to 38)

ALL RELEVANT SEA SERVICE MUST BE LISTED. If there is insufficient space, please continue on a separate sheet. Sea service on foreign flag ships will be accepted under the same conditions as service on UK ships provided it can be properly verified.

**LENGTH OF VOYAGE:** this must be given in calendar months and days, e.g. from 3 January to 5 March = 2 months and 3 days. Odd days should be added together and reckoned at 30 days to the month.

	SHIP AND VOYAGE DETAILS				DA	TES	DUR	ATION		
Vessel Name	Official Number	Flag	Reg. Power (kW)	S/M	Туре	Rank/Capacity	From	То	Months	Days
								Total		

S/M S = Steam, or M = Motor

Type 1 = Regular watch in the machinery spaces OR unmanned machinery spaces (U.M.S.) Duty Engineer

2 = Other

Before a Certificate of Competency can be issued, you will need to submit the following documentation – Emailed copies of documents must be supplied

Certificate No:	Capacity:	Country of Issue:		
4A FOR ALL APPLICA	ANTS	I	✓ if enclosed	Official use only
Copy of Passport and Visa if app	blicable (refer to guidance notes)			
Discharge Book <b>OR</b> Certificates	of Discharge ( <b>must be originals)</b>			
Sea Service Testimonials (must	be originals)			
Two Passport Size Photographs				
Valid Medical Fitness Certificate				
Regulation I/9, any seafarer employed	uirements in accordance with the Merchant Shippi or engaged in any capacity aboard a seagoing ves ed. Further information may be obtained from the I <b>ith your NOE pass.</b>	ssel must hold a valid medical fitness certif	icate attesting to th be valid at the tin	eir medical fitnes ne of issue of th
4B APPLICANTS FOR	FIRST CERTIFICATE OF COM	<b>IPETENCY</b>	✓ if enclosed	Official use onlv
Letter of Initial Assessment OR ( date you enrolled on the progr	College letter confirming approved training p	rogramme ( <b>this must confirm the</b>		
MNTB Training Record Book (If				
Elementary First Aid STCW A-V	///1-3			
Fire Prevention and Fire Fighting	3 STCW A-VI/1-2			
Personal Survival Techniques S				
Personal Safety and Social Resp	oonsibilities STCW A-VI/1-4			
Advanced Fire Fighting* STCW				
Certificate of Proficiency in Survi	ival Craft and Rescue Boats* STCW A-VI/2			
Medical First Aid* STCW A-VI/4	4–1			
MNTB Workshop Skills Certificat	te* or MSQ equivalent*			
High Voltage (>1000V) Certificat	e/College Letter/Service Letter if applicable	* (see guidance notes)		
certificates and transcripts, if ap		•		
i nese documents may be submi	itted later when applying for your Certificate	or competency.		Official
4C APPLICANTS FOR	SUBSEQUENT CERTIFICATE	S OF COMPETENCY	✓ if enclosed	use only
MNTB/MCA Approved UK Acade certificates and transcripts, <b>if ap</b>	emic Qualifications <b>OR IAMI</b> Assessment Le plying for exemptions.	etter AND relevant qualification		
High Voltage (>1000V) Systems	Management Certificate (see guidance note	es)		
4D HELM REQUIREM	ENTS		✓ if	Official
			enclose 🔒	use only

\* EOOW – This is not required for cadets following an MNTB approved officer training scheme. If you are following an MCA approved overseas collaborative programme (so called one plus one schemes) you should consult with the UK parent college as agreements will be made with the MCA on a programme by programme basis.

The HELM certificate is not required for the issue of your NOE, but this certificate must be received by the MCA prior to the issue of your CoC.

### 4D For all applicants expecting to receive a Certificate of Competency after the 1<sup>st</sup> January 2017

From 01 January 2017 you will be required to provide documentary evidence of either completing the training course or updating your training within the 5 years preceding the issue of you Certificate of Competency for (for further information please refer to <u>MSN 1865</u>):

Fire Prevention and Fire Fighting < 5 years old				
Personal Survival Techniques < 5 years old				
Advanced Fire Fighting < 5 years old	1		1	
Proficiency in Survival Craft and Rescue Boats < 5 years old		-		 
		-	L	

**5 DECLARATION** 

### (The maximum penalty for a false declaration is £5000)

I declare that the data contained in this application is, to the best of my knowledge, true and complete. I also declare that the documents are genuine, given and signed by the persons whose names appear on them. I consent to any processing of the data contained in this application by the MCA (including any processing necessary to establish the authenticity and validity of the issued certificate). Please refer to our privacy statement in Section 2 of the guidance notes which explains how we use the personal information we collect from you.

Please sign this form in the centre of the space opposite, in BLACK BALL POINT PEN, this will be transferred to your new STCW certificate	IMPORTANT – KEEP WITHIN THE BORDER FAILURE TO COMPLY WITH THIS INSTRUCTION WILL INVALIDATE THE APPLICATION	FOR OFFICIAL USE ONLY
Print name		

Due to the ongoing COVID-19 situation we are currently unable to accept any applications via post. Please submit all applications and supporting documents via email. Please note that scanned copies of supporting documents are currently acceptable. Please note this is subject to change, visit our webpage to stay up to date with the latest information – <u>Click here</u>. Please email your application to <u>engineering@mcga.gov.uk</u>

## **6 COUNTER SIGNATURE**

Name			
Address			1
Address			
Town /City			
,			
County/State			
Post Code/Zip		Country	
Telephone No		Occupation	
Capacity in which you	know the applicant		

I declare that the information given is, to the best of my knowledge, true and complete. I also declare that the documents submitted are, to the best of my knowledge, genuine and relate to the person(s) whose names appear on them. I confirm that the photographs submitted bear a true current likeness of the applicant.

Signed.....

Date.....

## **7 PAYMENT**

You must ensure you pay the appropriate fee (as laid down in the current Merchant Shipping Fees Regulations)

Payment should be made in pounds sterling (£), by BACs transfer, credit or debit card via online payment facility Gov.uk Pay by following the links below.

We are unable to accept cheques, postal orders, banker's drafts or cash.

The fee for an NoE application is £220.00 which includes the cost of a courier service.

The fee for an upgrade with no oral examination is £77. Postage to the UK is an additional £10, postage to Europe is an additional £20 and postage to the rest of the world is an additional £30.

Please tick ( $\checkmark$ ) the appropriate box below to indicate your chosen method of payment.

#### Notice of Eligibility Application

To pay via Gov.UK Pay for your Notice of Eligibility:

https://www.gov.uk/payments/seafarer-training-courses/new-application-for-engineering-certificate-of-competencyincluding-marine-engineer-operators-licence-or-fishing-or-upgrade

UK Certificate of Competency Upgrade Applications without Oral Examination.

To pay via Gov.UK Pay for delivery to the UK: £87.00

https://www.gov.uk/payments/seafarer-training-courses/uk-upgrade-application-for-engineering-certificate-of-competencyincluding-marine-engineer-operators-licence-or-fishing-or-upgrade

To pay via Gov.UK Pay for delivery to the European Union or EEA: £97.00

https://www.gov.uk/payments/seafarer-training-courses/eu-upgrade-application-for-engineering-certificate-of-competencyincluding-marine-engineer-operators-licence-or-fishing-or-upgrade

To pay via Gov.UK Pay for delivery to the Rest of the World: £107.00

https://www.gov.uk/payments/seafarer-training-courses/row-upgrade-application-for-engineering-certificate-ofcompetency-including-marine-engineer-operators-licence-or-fishing-or-upgrade

#### For official use only:

Name, if different from card holder:	
SDS number:	
Email, if not stated above:	
All payment details entered on SDS (initial):	

## $\square$

#### **GUIDANCE NOTES FOR THE COMPLETION OF THIS APPLICATION FORM**

#### PLEASE ENSURE THAT YOU READ AND UNDERSTAND THESE NOTES BEFORE COMPLETING THE FORM

#### Further guidance is available in MSN 1857.

Please complete this form in BLOCK LETTERS and in black ink.

# ENSURE YOU COMPLETE THIS FORM IN FULL - FAILURE TO DO SO MAY MEAN THAT WE WILL HAVE TO RETURN YOUR APPLICATION TO YOU AND WILL RESULT IN A DELAY TO YOUR APPLICATION BEING PROCESSED.

Enclose all documents necessary to establish your eligibility for examination for an STCW Certificate of Competency. Document checklists are provided on page 3 to help you.

If eligible you will be issued with a Notice of Eligibility enabling you to sit an MCA oral examination. The Notice of Eligibility will advise you how to apply for an appointment for the examination and what you should do once you have taken the examination. The NoE will be valid for 5 years from the date of issue. Oral examination passes for each level of certification are valid for three years. The oral examination pass **must** be in date at the time of issue of the CoC.

A series of Training and Certification Guidance Notes, giving further details of requirements are available from the Seafarer Training & Certification Branch; the contact details are overleaf or they can be found on our website: Search <u>www.gov.uk</u> for "Engineer CoC".

## **1 PERSONAL DETAILS**

Enter your personal details in the boxes provided. Your name should be given IN FULL and should be given in the same format as appears in your passport or other national identity document.

Your date of birth should be given in the format DD/MM/YYYY, e.g. 18 February 1965 would be written 18/02/1965.

You should give your permanent home address, where you are normally resident. You may also provide an alternative address for return of documents or correspondence relating to this application, e.g. if you are away at College.

## **2 CERTIFICATE APPLIED FOR**

Please tick ( $\checkmark$ ) the capacity / limitations for which you are applying. Only tick **ONE** box.

## **3 PRIVACY STATEMENT**

Personal information which you supply to us will be used to process your application to meet the applicable Standards of Training Certification and Watchkeeping (STCW) requirements. To check and report on how effective the MCA and the services it commissions have been. To make sure that the MCA gives value for money. We may use your information to investigate complaints, legal claims or important incidents. We may use your information for crime prevention and prosecution of offenders.

The information you provide is primarily used for issuing your UK Certificate of Competency (CoC)/Certificate of Equivalent Competency (CEC) but may also be used for other related purposes and shared with other statutory bodies/organisations to enable them to fulfil their statutory obligations.

We will not disclose any financial details you provide to us. Once your payment has been processed all financial details are securely destroyed.

For more information on how we use your information, and your rights to access information we hold on you, please see our full privacy policy available on our website:

https://www.gov.uk/government/organisations/maritime-and-coastguard-agency

## **4 SEA SERVICE**

Sea service must be supported by Discharge Book entries OR Certificates of Discharge, sea service testimonials, and where appropriate, watch keeping certificates. Testimonials must be signed by the Chief Engineer or Master or in exceptional circumstances by the Superintendent responsible for the vessel.

Requirements: this is a summary only, please refer to MSN 1857 for the full requirements.

If you have been issued with a Letter of Initial Assessment (LIA) by the MCA, then this will detail the sea time and education requirements that you must meet to gain a NOE and COC.

Unlimited COCs (for limited COCs refer to flowchart on page 9)

#### Engineer Officer of the Watch:

- Approved cadetship route: requirement will depend on when programme was started:
  - o If cadetship began prior to 1<sup>st</sup> July 2013: 6 months sea time, including 4 months watchkeeping
    - If cadetship began after 1<sup>st</sup> July 2013: 6 month sea time with watchkeeping duties, plus additional 3 months of: seagoing service, or; combined workshop/industrial training, or; 1 month MCA approved lab time and 2 months seagoing/industrial training.

• Experienced seafarer route: a total of 33 months sea time in order to obtain the COC. 24 months before registering with the college and a subsequent 9 months (including 6 months watchkeeping) whilst completing the training programme.

Second Engineer: 12 months seagoing service as EOOW, including 9 months watchkeeping time

<u>Chief Engineer:</u> 12 months seagoing time **as Second Engineer** since issue of Second Engineer COC, or 36 months sea time since issue of EOOW COC, including 18 months watchkeeping on vessels of 3000 kW or more

### The unlimited progression route is summarised on a flow chart on page 8.

#### The near-coastal progression route is summarised on a flow chart on page 9.

## **5 CHECKLISTS**

Before a United Kingdom Certificate of Competency can be issued, you will need to submit certain documentation as specified in this section. Any candidate failing to submit all the required documents may have their application returned without being processed.

**4A - ALL APPLICATIONS - ALL** the documents in this section **MUST** be provided with this application before a Notice of Eligibility will be issued. Please ensure you tick ( $\checkmark$ ) each box to indicate that you have enclosed the documents.

**Photographs:** Your photographs must be taken full face without a hat and must be UK approved passport photographs, measuring a maximum of 50mm x 40mm, in colour with a plain white background.

**4B - APPLICATIONS FOR A FIRST CERTIFICATE**: Documents in this section must be provided with this application unless otherwise stated. Please ensure you tick (✓) the relevant boxes to indicate which documents you have enclosed with this application.

**4C** - **APPLICATIONS FOR A SUBSEQUENT CERTIFICATE:** Documents in this section must be provided with this application. Please ensure you tick (✓) the relevant boxes to indicate which documents you have enclosed with this application.

#### Further Evidence of Training required before your CoC can be issued

The following documentary evidence will need to be provided before your CoC can be issued, however it is not required for the issue of your NOE.

#### EOOW:

Approved cadetship route:

- HND/HNC/Foundation degree completion certificate
- IAMI Engineering Knowledge General and Motor/Steam (not required if Foundation degree completed)

Experienced Seafarers:

- IAMI Engineering Knowledge General and Motor/Steam (less than 3 years old)
- IAMI Control Engineering (less than three years old)
- IAMI Science A & B
- HELM (Operational)

Second Engineer: the following SQA academic subjects at Second or Chief Engineer level, or an award which gives exemption:

- Applied Mechanics
- Applied Heat
- Electrotechnology
- Naval Architecture
- Engineering Drawing
- Mathematics

The following Engineering Knowledge examinations sections at Second Engineer level:

- General
- Motor and/or steam (as applicable)

HELM (Management) - see below for more information

Chief Engineer: the following SQA academic subjects at Chief Engineer level, or an award which gives exemption:

- Applied Mechanics
- Applied Heat
- Electrotechnology
- Naval Architecture

The following Engineering Knowledge examinations sections at Chief Engineer level:

- General
  - Motor and/or steam (as applicable)

HELM (Management) - see below for more information

4C Continued: - HIGH VOLTAGE (HV) CERTIFICATES (>1000V): Documentary evidence for completion of high voltage training is required in order for your Certificate of Competency (CoC) to be valid on vessels with HV systems after 31 December 2016. If you have not competed this training your certificate will carry the following limitation:

"From 1 January 2017 this certificate is not valid for service on ships fitted with High Voltage (over 1000V) systems".

#### For EOOW CoC Reg. III/1 (Operational Level):

- College letter confirming the High Voltage (HV) Operational element has been completed as part of your MCA approved education programme (HNC/HND or Foundation Degree) or;
- Completion of MCA approved HV course or;
- Completion of the following sea service in the engine room on vessels fitted with HV systems:
  - Six months in the preceding five years; or three months sea service during the last twelve months (sea service evidence should be provided in the form of a company letter signed by an authorised official within the company).

#### For Second/Chief Engineer Officer CoC Reg. III/2 and III/3 (Management Level):

Completion of MCA approved High Voltage Management course

Human Element, Leadership Management (HELM) Certificate: STCW Convention and Code, 1978 as amended 2010, brings in new requirements for leadership and management training. Although the UK has identified that these requirements are broadly met in the current MNTB approved officer training programmes for the operational level, specific training programmes in Human Element, Leadership and Management (HELM) have been developed at both the operational level (to be used for non MNTB officer cadet training programmes) and at the management level.

**Engineer Officer of the Watch Applications**: If you are not following an MNTB approved officer training scheme (e.g. experienced seafarer, engineer graduate entry, engineer apprenticeship entry, Royal Navy transfer, etc.) you must include a certificate of completion of an approved HELM operational course. If you are following an MCA approved overseas collaborative programme (so called one plus one scheme) you should consult with the UK parent college as agreements will be made with the MCA on a programme by programme basis.

Second Engineer (unrestricted) and Chief Engineer (unrestricted): Applications You must include a certificate of completion of an approved HELM management level course. This includes those working on Standby, Seismic Survey and Oceanographic Research Vessels.

For further information please refer to Section 6.5 (pages 17 & 18) of MSN 1857 which is available on our website: go to <u>www.gov.uk</u> and search <u>MSN 1857</u>

## **6 YOUR SIGNATURE AND DECLARATION**

Please read the declaration. Once you are sure that the information you have given is, to the best of your knowledge, true and complete, and that the documents submitted are genuine, given and signed by the persons whose names appear on them, you should sign the declaration with your usual signature, including the date. Please ensure your signature is inside the box as this will be transferred to your CoC.

## **7 COUNTER SIGNATURE**

You should obtain a counter signature from a responsible person who is NOT related to you and has known you for at least 2 years. They should enter their details in this section. This person must endorse the rear of one of your passport style photographs "I confirm that this is a true current likeness of [your name]" and add their usual signature and date. See also guidance note 4 on photographs.

## **8 PAYMENT**

You must enclose the appropriate fee with your application. The fee includes worldwide postage. Please tick ( $\checkmark$ ) the appropriate box to indicate your chosen method of payment. Payment must be made in pounds sterling (£). Please sign to confirm the amount and chosen method of payment.

## **9 APPLICATION TRACKING**

Due to the ongoing COVID-19 situation we are currently unable to accept any applications via post. Please submit all applications and supporting documents via email. Please note that scanned copies of supporting documents are currently acceptable. Please note this is subject to change, visit our webpage to stay up to date with the latest information – <u>Click here</u>. Please email your application to <u>engineering@mcga.gov.uk</u>





